

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Applicati n Number 09/903,019
Filing Dat July 10, 2001
First Nam d Invent r Murari Kumar
Group Art Unit TBA
Examiner Name To Be Assigned
Attorney Docket Number 42390P11769

Total Number of Pages in This Submission

9

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Return Receipt Postcard

Remarks

Response to Notice of Missing Parts includes: Response to Notice to File Missing Parts (1 pg), Decl./Power of Attorney (4 pgs), Copy of Notice to File Missing Parts (1 pg)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Joseph Lutz, Reg. No. 43,765

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN

Signature

Date

October 19, 2001

CERTIFICATE OF MAILING (OR TRANSMISSION)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

October 19, 2001

Typed or printed name

Marilyn Bass

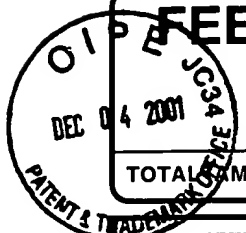
Signature

Date

10/19/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#3



FEE TRANSMITTAL

for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	130.00
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Complete if Known	
Application Number	09/903,019
Filing Date	07/10/01
First Named Inventor	Murari Kumar
Examiner Name	To Be Assigned
Group Art Unit	TBA
Attorney Docket Number	42390P11769

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 02-2666

Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

☒ Charge Any Additional Fee Required Under 37CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (continued)					
3. ADDITIONAL FEE					
Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid	
105	130	205	65 Surcharge - late filing fee or oath	130	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet.		
139	130	139	130 Non-English specification		
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination		
112	920	112	920 Requesting publication of SIR prior to Examiner action		
113	1,840	113	1,840 Requesting publication of SIR after Examiner action		
115	110	215	55 Extension for response within first month		
116	400	216	200 Extension for response within second month		
117	920	217	460 Extension for response within third month		
118	1,440	218	720 Extension for response within fourth month		
128	1,960	228	980 Extension for response within fifth month		
119	320	219	160 Notice of Appeal		
120	320	220	160 Filing a brief in support of an appeal		
121	280	221	140 Request for oral hearing		
138	1,510	138	1,510 Petition to institute a public use proceeding		
140	110	240	55 Petition to revive - unavoidably		
141	1,280	241	640 Petition to revive - unintentionally		
142	1,280	242	640 Utility issue fee (or reissue)		
143	460	243	230 Design issue fee		
144	620	244	310 Plant issue fee		
122	130	122	130 Petitions to the Commissioner		
123	50	123	50 Petitions related to provisional applications		
126	180	126	180 Submission of Information Disclosure Stmt		
581	40	581	40 Recording each patent assignment per property (times number of properties)		
146	740	246	370 Filing a submission after final rejection (37 CFR 1.129(a))		
149	740	249	370 For each additional invention to be examined (37 CFR 1.129(b))		
179	740	279	370 Request for Continued Examination (RCE)		
169	900	169	900 Request for expedited examination of a design application		
Other fee (specify)					
SUBTOTAL (3)				(\$)	130.00

FEE CALCULATION

1. FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370 Utility filing fee	
106	330	206	165 Design filing fee	
107	510	207	255 Plant filing fee	
108	740	208	370 Reissue filing fee	
114	160	214	80 Provisional filing fee	
SUBTOTAL (1)				(\$)

2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
22			
3			

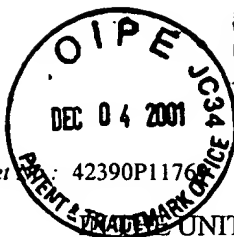
Multiple Dependent Claims

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9 Claims in excess of 20	
102	84	202	42 Independent claims in excess of 3	
104	280	204	140 Multiple Dependent claim	
109	84	209	42 Reissue independent claims over original patent	
110	18	210	9 Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$)

*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Joseph Lutz, Reg. No. 43,765			Reg. Number	
Signature				Deposit Account User ID	02-2666
	Date	10/19/2001			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



Attorney Docket No.: 42390P1176

UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

MURARI KUMAR

Application No.: 09/903,019

Filed: July 10, 2001

For: **AN APPARATUS AND METHOD FOR UPnP
DEVICE CODE GENERATION USING XML**

Assistant Commissioner for Patents
Attention: Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed August 27, 2001, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

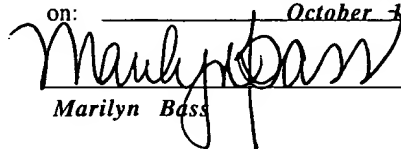
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN


Joseph Lutz, Reg. No. 43,765

Dated: October 19, 2001

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231
on: October 19, 2001


Marilyn Bass

10/19/01

Date



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/903,019	07/10/2001	Murari Kumar	42390P11769

CONFIRMATION NO. 9066

08791

 BLAKELY SOKOLOFF TAYLOR & ZAFMAN
 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
 LOS ANGELES, CA 90025

FORMALITIES LETTER



OC00000006478754

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/06/2001 BABRAHA1 00000009 09903019

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130.00 OP